

Customer No.	026418	
Prepared from FORM PTO-1390	Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)	
Attorney's Docket No.:	GK-EIS-1099/500593.20092	
U.S. Application No.:	10/556,019	
International Application No.:	PCT/EP2004/003297	
International Filing Date:	MARCH 29, 2004	29 MARCH 2004
Priority Date Claimed:	MAY 07, 2003	07 MAY 2003
Title of Invention:	SYSTEM FOR LOCATION-SENSITIVE REPRODUCTION OF AUDIO SIGNALS	
Applicant(s) for (DO/EO/US):	Guenther PFEIFER, Ruediger HOFFMANN, Wolfgang NIEHOFF and Rolf MEYER	

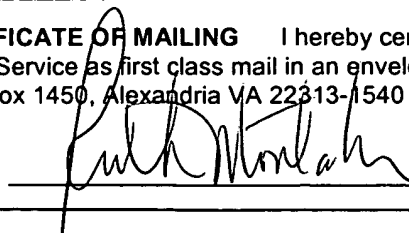
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)]. The submission must include items (5), (6), (9), and (21) indicated below.
- ☐ 4. The US has been elected (Article 31).
- ☐ 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
  - a) ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b) ☐ has been communicated by the international Bureau.
  - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☐ 6. An English language translation of the International Application as filed [35 U.S.C. 371(c)(2)]
  - a) ☐ is attached hereto
  - b) ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
  - a) ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b) ☐ have been communicated by the international Bureau.
  - c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d) ☐ have not been made and will not be made
- ☐ 8. An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☐ 9. An EXECUTED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- ☐ 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 20. Below concern other document(s) or information included:

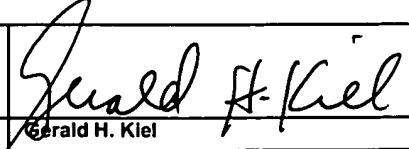
- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ 13. A preliminary amendment
- ☐ 14. An Application Data Sheet under 37 CFR 1.76
- ☐ 15. A substitute specification with abstract (attached to the preliminary amendment)
- ☐ 16. A change of power of attorney and/or address letter
- ☐ 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
- ☐ 18. A second copy of the published International Application under 35 U.S.C. 154 (d)(4).
- ☐ 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154 (d)(4).
- ☒ 20. (other items or information) **Enclosed is a copy of the 371 formalities letter dated June 16, 2006 and received by us on June 21, 2006. The Executed Declaration/POA was E-filed on June 20, 2006, the PTO's Electronic Acknowledgement Receipt dated June 20, 2006 is enclosed.**

**CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria VA 22313-1540 on June 21, 2006



/Ruth Montalvo Date: June 21, 2006

U.S. Application No. (if known, see 37 C.F.R. 1.50): **10/556,019**International Application No.: **PCT/EP2004/003297**Attorney's Docket No: **GK-EIS-1099 / 500593.20092**

The following fees are submitted:				Applicant use	Office use only
<input type="checkbox"/> 21 Basic national fee (37 CFR 1.492(a))				\$ 300.00	
<input type="checkbox"/> 22 Examination fee (37 CFR 1.492(b))					
If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Articles 33(1)-(4)				\$ 0.00	
All other situations.....				\$200.00	
<input type="checkbox"/> 23 Search fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Articles 33(1)-(4)				\$ 0.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$100.00	
International Searching Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by IB				\$400.00	
All other situations.....				\$500.00	
<b>TOTAL OF ABOVE CALCULATIONS:</b>					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (b) or computer program listing filed in an electronic medium) 37 CFR 1.492(j)). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number.	Rate		
- 100 =	/50 =		X \$250.00		
Surcharge of \$ 130.00 for furnishing the oath or declaration later than 30 month from the earliest claimed priority date (37 CFR 1.492(e))					
Claims	Number Filed		Number Extra	Rate	
Total Claims:	5	- 20	0	X \$ 50.00	
Independent Claims:	1	- 3	0	X \$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$ 360.00	
<b>TOTAL OF ABOVE CALCULATIONS:</b>					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]				+	
<b>TOTAL OF ABOVE CALCULATIONS:</b>					
<input type="checkbox"/> Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by ½ for filing by small entity				-	
<b>SUBTOTAL:</b>					
Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]				+	
<b>TOTAL NATIONAL FEE:</b>					
Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property				+	
<b>TOTAL FEE(S):</b>					
<b>AMOUNT TO BE REFUNDED</b>					\$
<b>AMOUNT TO BE CHARGED</b>					\$
<b>(Please note the filing fee is based on the claims in the Preliminary Amendment)</b>					
a) <input type="checkbox"/> Check in the amount of \$ .00 to cover the above fees is enclosed.					
b) <input type="checkbox"/> Please charge my Deposit Account No 50-1529 in the amount of \$ .00 to cover the fee..					
c) <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)					
d) <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE:</b> Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Gerald H. Kiel, Esq. Reed Smith LLP (Customer No. 026418) 599 Lexington Avenue New York, NY 10022-7650				Signature:	
				Attorney:	Gerald H. Kiel
				Reg. No.	25,116
				Date:	June 21, 2006



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/556,019	FIRST NAMED APPLICANT Guenther Pfeifer	ATTY. DOCKET NO. GK-EIS-1099/500593.20092
		INTERNATIONAL APPLICATION NO. PCT/EP04/03297
		I.A. FILING DATE 03/29/2004
		PRIORITY DATE 05/07/2003

26418  
 REED SMITH, LLP  
 ATTN: PATENT RECORDS DEPARTMENT  
 599 LEXINGTON AVENUE, 29TH FLOOR  
 NEW YORK, NY 10022-7650

CONFIRMATION NO. 4507  
 371 FORMALITIES LETTER  
  
 \*OC000000019293375\*

Date Mailed: 06/16/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 11/07/2005
- English Translation of the IA filed on 11/07/2005
- Copy of the International Search Report filed on 11/07/2005
- Copy of IPE Report filed on 11/07/2005
- Preliminary Amendments filed on 11/07/2005
- Information Disclosure Statements filed on 11/07/2005
- U.S. Basic National Fees filed on 11/07/2005
- Substitute Specification filed on 11/07/2005
- Priority Documents filed on 11/07/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/556,019	PCT/EP04/03297	GK-EIS-1099/500593.20092

FORM PCT/DO/EO/905 (371 Formalities Notice)